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In re application of:

Natascha Kearsey, et al.

Appln. No.: 09/730,826

Filed: December 7, 2000

Title: QUERY GENERATOR

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: Examiner: Not yet Assigned  
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: Art Unit: 2176

**CLAIM FOR PRIORITY**

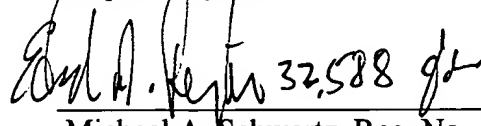
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Sir:

A certified copy of corresponding EP Application No. 00302134.2, filed March 16, 2000 is attached. It is requested that the right of priority provided by 35 U.S.C. 119 be extended by the Patent Office.

Respectfully submitted,

 32,588 g/L

Date: July 19, 2001

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# TRANSMITTAL FORM

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<b>TRANSMITTAL FORM</b>	<b>Application Number</b>	09/730,826
	<b>Filing Date</b>	December 7, 2000
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	<b>Group Art Unit</b>	2176
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## ENCLOSURES (check all that apply)

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Michael A. Schwartz, Registration No. 40,161
<b>Signature</b>	<i>Michael A. Schwartz</i> 32,588 for Michael A. Schwartz
<b>Date</b>	July 19, 2001

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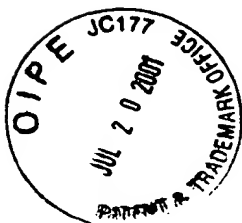
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European patent application  
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Patentanmeldung Nr. Patent application No. Demande de brevet n°

00302134.2



Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
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**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.: 00302134.2  
Demande n°:

Anmeldetag:  
Date of filing: 16/03/00  
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Anmelder:  
Applicant(s):  
Demandeur(s):  
**ORACLE CORPORATION**  
**Redwood Shores, CA 94065**  
**UNITED STATES OF AMERICA**

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:  
**Query generator**

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

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QUERY GENERATOR

The present invention relates to apparatus for generating a query for obtaining selected data from a database and to a database system including a database, and  
5 a query generator.

Query generators, such as Oracle's "Discoverer" software package, are end user tools which are used to generate queries which can be sent to a database so as to obtain data from the database. Users construct database  
10 queries by selecting items from a drop down list of items displayed on the screen. Each item represents different information which can be obtained from the database, such as the raw data, or alternatively the calculation of various values, such as the sum or average of the selected  
15 data. Once the items have been selected, the query generator then generates a query in a structured query language (SQL) which can be transferred to the database causing the database to output the desired data.

In many cases the SQL required for any given  
20 combination of items selected by the user is non-ambiguous, and the users see the results they expect, based on the items selected. However there are combinations of items which the normal SQL generation algorithms of the query generators are unable to handle. This causes SQL to be  
25 generated that yields results which although relationally correct, are not what the user actually required to see. Typically, this occurs when the selected items require the calculation of aggregates of the data and the joining of data stored in different tables. Specific examples of such  
30 queries will be described in more detail below.

Currently, there are a number of options available for handling such problems.

In one example, rather than present data to the user which is likely to be mis-interpreted, such classes of  
35 queries are prevented from being run at all. Other products either present the misleading data without any warning, or require an Administrator to set up complex folder

structures within the database in order to generate the correct results.

In accordance with a first aspect of the present invention, we provide a query generator for generating a query for obtaining selected data from a database, the database having a number of tables in which data is stored, the query generator comprising a processor which is coupled to the database in use, the processor being adapted to:

- a. receive an input indicating the selected data to be obtained;
- b. analyse the input and determine whether the input requires a joining of data in different tables, and an aggregation step; and,
- c. if so, causing the processor to generate a query, the query being adapted to cause the database to:
  - i. aggregate the data within each of the tables as required; and,
  - ii. join the aggregated data, the joined aggregated data representing the selected data.

In accordance with a second aspect of the present invention, we provide a database system, the database system comprising:

- a. a database, the database comprising:
  - i. a store for storing data; and,
  - ii. a database processor coupled to the store for obtaining data in accordance with a received query; and,
- b. a query generator for generating a structured query for obtaining selected data from the database, the query generator comprising a processor adapted to:
  - i. receive an input representing the query to be generated;
  - ii. analyse the input and determine whether the input requires a joining of data in

different tables, and an aggregation step;  
and,

iii. if so, causing the processor to generate a  
structured query,

5        wherein the database processor responds to the  
structured query to:

(1) aggregate the data within each of the  
tables as required; and,

10        (2) join the aggregated data, the joined  
aggregated data representing the  
selected data.

Accordingly, the present invention provides a query  
generator, and a database including a query generator which  
is capable of generating a query which does not suffer from  
15        the above mentioned problem of the prior art. The system  
operates by detecting that the input will require the  
joining of data in different tables and an aggregation  
step, and automatically changes the query generation  
algorithm to reflect what the user was actually asking for.  
20        This ensures that the database outputs the desired data and  
avoids misleading results without requiring any additional  
Administration work. The net result is to vastly increase  
the range of queries that a user can ask of a given  
database schema, enabling them to get more business  
25        intelligence from the same amount of raw data.

The query preferably causes the database to aggregate  
the data for each table by causing the database to select  
the data within the table for aggregation and aggregate the  
data. This ensures that the correct aggregation is  
30        performed on the correct data, at the correct level,  
thereby overcoming the problems associated with first  
joining the data and then aggregating the data at the wrong  
level.

The step of selecting the data usually involves  
35        selecting the data by implementing complex folders to  
generate inline views within the database, the inline views  
being maintained until the aggregation is complete.

However, alternatively for example, the database may be adapted to generate new tables including the data with the new tables being used for the aggregation purposes. Once the aggregation has been completed, the new tables could then be joined to provide the desired output.

Typically the aggregation comprises one of an average, a sum, a standard deviation, an evaluation of either a maximum or a minimum of the data. However, any calculation which is performed on a number of rows of data within the table and which results in the output of a single value will also constitute an aggregate for the purposes of the present invention.

The query generator usually further comprises an input device coupled to the processor to allow a user to generate the input. Any form of device may be used but typically, the query generator will be implemented on a computing device, such as a personal computer, a palm top, a lap top, or a wireless device, in which case the input will typically comprise a keyboard or the like. Alternatively however, the input may be generated remotely and transferred to the query generator thereby allowing the query generator to generate the desired query.

Typically the input is generated by selecting items from a list of possible items, each item representing data contained within a respective database table, and/or an action to be performed on data within the database. However, any suitable input form, such as the input of search commands, or any other form of command input may be used.

The query is preferably generated as an SQL query. The structured query language is used by most databases and accordingly, it is necessary in the majority of cases for the query generator to generate queries in the SQL form. However, alternative languages may be used as appropriate to the database.

The aggregation step usually requires the aggregation of data in different tables. Thus for example, it may be

required to aggregate budget data from a budget table and sales data from a sales table, with the budget and sales table being joined via a common master account table. Alternatively the aggregation may need to be performed on data at both the master and detail levels. In both these cases, the joining of the tables prior to the aggregation results in the calculation of values which are not what is required by the user. Accordingly the present invention overcomes this by ensuring the correct data is aggregated.

10 In the system in which a database and a query generator are provided together then the database processor can comprise the processor. This allows a single processor to be employed to generate the query and then use the query to obtain the data from the database. However, separate  
15 processors may of course be used, for example, if the database and the query generator are located at different locations.

Examples of the present invention will now be described with reference to the accompanying drawings, in  
20 which:-

Figure 1 is an example of a database system incorporating a query generator according to the present invention;

25 Figure 2 is a schematic diagram of a query generator according to the present invention;

Figure 3 is a schematic diagram of the database of Figure 1;

Figure 4 is a schematic representation of a first query according to the prior art;

30 Figure 5 is a schematic representation of a second query according to the prior art;

Figure 6 is a schematic representation of a first query generated according to the present invention; and,

35 Figure 7 is a schematic representation of a second query generated in accordance with the present invention.

Figure 1 is a schematic diagram of a typical database system. As shown, the system comprises a database centre

1 which includes a database 2 which stores data for use by the processing system.

The processing centre 1 also includes a processor 3 which is linked to the database 2 via a bus 4. The bus 4 is in turn linked via a communications device 5, such as a modem, ISDN connection, or the like to a communications network 6, such as the Internet or an Ethernet or Token Ring LAN. The communications network 6 is in turn coupled to a number of system user end stations 7, either directly or via a communications centre 8 such as an Internet service provider, in the usual way.

An example of an end station 7 suitable for use as a query generator is shown in more detail in Figure 2. As shown, each end station includes an Input/Output device 71, a memory 72, a processor 73, a display 74 and a communications device 75 such as a modem, all of which are coupled together via a bus 76, as shown. It will be appreciated that the end station may therefore comprise a personal computer, a lap top, a palm top, a wireless communications device or the like.

When the processor 73 of the end station 7 is suitably programmed, the end station 7 can be used as a query generator to generate queries which are transferred via the communications network 6 to the database center 1. The processor 3 will respond to the query by downloading the required data from the database 2 and transferring the data via the bus 4, the communications device 5 and the communications network 6 back to the end station 7 for presentation to the user on the display 74.

The query is typically generated by selecting items from a drop down list presented to the user on the display 74. The items in the list will include information concerning the data to be obtained and any operation which is to be carried out on the data. Thus, for example, if the user requires the sum of sales for a given account to be provided, the user will select a sales item, a sum item and an account item. The account item is used to specify

the account for which the sales are required and the sum item indicates that the sales values are to be summed.

Operation of the system in accordance with the prior art will now be described.

- 5       A schematical representation of the database 2 is shown in Figure 3. In this example, the database 2 is a database including details of a firms financial business. The database therefore includes an accounts table 10 indicating a number of different accounts the company uses.
- 10   A sales table, indicating the sales for each account and a budget table 12 indicating the budget for each account are also provided. These are linked to the accounts table by appropriate links 13. Similarly, an order table 14 is provided which is linked to appropriate orderline table 15 by links 16. In this example, the accounts table and the order table form master tables as they are subordinate to the sales table 11 and budget table 12, and the orderline table 15 respectively. The sales, budget, and orderline tables form associated detail tables.
- 15
- 20       In the first example the user of the end station 7 wants to request a sum of sales and a sum of budgets for specified accounts. This therefore involves obtaining aggregate values from two detail tables, namely the sales table 11 and the budget table 12. Accordingly, the user
- 25   selects items 'Sales' from the sales table, 'Budget' from the Budget table and 'Account name' from the Account table.

In this example, the sales table 11 is as shown in table 1, and the budget table is as shown in table 2.

Table 1

5	ID	ACCID	SALES
	1	1	100
	2	1	100
	3	1	200
	4	2	50
10	5	2	80
	6	3	200
	7	4	150
	8	4	50
	9	4	100
15	[9 rows only selected]		

Table 2

ID	ACCID	BUDGET
1	1	200
2	1	200
3	2	100
4	3	150
5	3	250
6	3	350
7	4	100
8	4	100
[8 rows only selected]		

The normal behavior of SQL generation tools is to join all the required tables and then compute the results. Accordingly, the processor 73 will operate to generate an SQL query as follows:

```

select account.name Account, sum(sales), sum(budget)
from account, sales, budget
where account.id = sales.accid and account.id = budget.accid
group by account.name

```

The SQL query is transferred to the processor 3 of the database center 1. The processor 3 downloads information from the database 2 in accordance with the SQL query and returns the data shown in Table 3 to the end station 7, via the communications network 6.



Table 3

ACCOUNT	SUM(SALES)	SUM(BUDGET)
Account 1	800	1200
Account 2	130	200
Account 3	600	750
Account 4	600	600

[4 rows only selected]

10        The above query is represented diagrammatically in Figure 4 as shown both the sales table 11 and the budget table 12 are linked via the accounts table 10 using the links 13, as shown, before the aggregation is performed.

15        As a result of this, although the numbers are relationally correct, they are not meaningful to an end user. In particular, as the sales table 11 and the budget table 12 are linked to the accounts table 10, then the generated SQL causes the tables to be joined before any aggregation is performed. The joins in the SQL cause every  
20        row in the Sales table 11 to be joined with every row in the Budgets table 12, for each account.

25        Accordingly, each row in the sales table 11 for Account 1 is duplicated due to the presence of two Account 1 rows in the budget table 12. As a result, the total Sales for Account1 are shown as 800, being twice the correct amount  $(100+100+200)*2$ , due to the duplication caused by the presence of two rows in Budgets for Account1.

      In the prior art, the correct results can be obtained by using 2 separate queries.

30        In this case the SQL queries are as follows, with the appropriate results being shown in Tables 4 and 5 below.

```

select account.name Account, sum(sales)
from account, sales
where account.id = sales.accid
5  group by account.name

select account.name Account, sum(budget)
from account, budgetx
where account.id = budgetx.accid
group by account.name

```

Table 4

ACCOUNT	SUM (SALES)
Account 1	400
Account 2	130
Account 3	200
Account 4	300

[4 rows only selected]

Table 5

ACCOUNT	SUM (BUDGET)
Account 1	400
Account 2	100
Account 3	750
Account 4	200

[4 rows only selected]

The result the user is looking for in the combined query is the combination of these single table result sets. What is actually happening, however, when the user selects aggregations from two or more detail tables, is that the SQL causes all the tables to be joined together (giving all possible combinations from the joins) and only THEN causes the aggregation to be performed. This is in contrast to the correct procedure which would be to do the aggregations separately for each detail table and THEN do the join(s).

A second example of queries which can also yield misleading results, are when aggregation is performed at both the master and detail table levels.

Returning to the table arrangements shown in Figure 3. In this example an order shown in the order table 14 can consist of many orderlines which are included in the orderline table 15. An example of suitable order and orderline tables are shown in Tables 6 and 7 respectively.

Table 6

ID	ORDER	VALUE
1	Order 1	300
2	Order 2	200
3	Order 3	400

[3 rows only selected]

Table 7

ID	ORDERID	PRICE
1	1	100
2	1	200
3	2	50
4	2	50
5	2	100
6	3	400

[6 rows only selected]

In this example, if the user wanted to compare Order totals with the aggregated Order Item totals, they would pick items corresponding to the Order number and Value from the Orders table, and Price from the OrderLines table.

As before, normal SQL generation will cause the processor 3 to first join the order and orderline tables 14,15 (to give all the combinations) and then aggregate the combined rows. This query, which is set out below, and is shown in Figure 5 leads to the generation of the following (unexpected) results shown in Table 8:

```

select name,sum(value), sum(price)
from orderx, orderline
where orderx.id = orderline.orderid
group by name

```

Table 8

5

ORDER	SUM(VALUE)	SUM(PRICE)
Order 1	600	300
Order 2	600	200
Order 3	400	400

[3 rows only selected]

10        Operation of the system in accordance with the present invention will now be described.

15        When a query involves either of the above scenarios, or any combination of them, the scenario will be detected by the processor 73. This is achieved by having the processor 73 monitor for the selection of items which requires the aggregation of data in two different tables which are joined. When such an input is detected the processor is adapted to modify the SQL generated. In this case the SQL generated causes the processor 3 to use inline views (implemented as transient complex folders) to ensure that aggregation is done at the correct level.

20        In the first example outlined above, two inline views 20,21 are used as shown in the graphical representation of the query in Figure 6. Each inline view 20,21 includes a respective master-detail aggregation of the accounts and sales tables 10,11 and the accounts and budget tables 10,12, respectively as shown. Once the aggregations have been completed within the inline views 20,21, the joining of the tables can be implemented, based on the master key(s) to give the desired results.

30        Since the aggregation is done inside the inline views, which have single fact tables, the aggregations will be at the correct level and are effectively performed on each of the sales table 11 and the budget table 12 respectively. This is because combining each of the detail tables 11,12 with the master table 10 effectively selects the rows of the tables 11, 12 on which the aggregation is performed. Thus, for Account 1, the inline views 20,21 cause the

13

aggregation to be performed on each row relating to Account 1 in the respective sales and budget tables 11,12, respectively.

The actual query generated is as follows:

```
5
SELECT in403 as Account , SUM(in397) as Sales_SUM , SUM(in417) as
Budget_SUM
FROM
    (SELECT i111184 AS in407, SUM(i111193) AS in417
10    FROM
        (SELECT ID AS i111184, NAME AS i111185 FROM
        DEMO.ACCOUNT ) IO111183,
        (SELECT ID AS i111191, ACCID AS i111192, BUDGET AS
        i111193 FROM DEMO.BUDGET ) IO111190
15    WHERE (i111184 = i111192(+))
    GROUP BY i111184 ) on405,
    (SELECT i111184 AS in385, SUM(i111208) AS in397, i111185 AS
in403
FROM
20    (SELECT ID AS i111184, NAME AS i111185 FROM
    DEMO.ACCOUNT ) IO111183,
    ( SELECT ID AS i111206, ACCID AS i111207, SALES AS
    i111208 FROM DEMO.SALES ) IO111205
    WHERE (i111184 = i111207(+))
25    GROUP BY i111185, i111184 ) on383
WHERE ( (in385 = in407))
GROUP BY in403;
```

Once the join is complete, this results in the output  
30 of the information shown in Table 9 from the database 2.

Table 9

ACCOUNT	SALES_SUM	BUDGET_SUM
Account 1	400	400
Account 2	130	100
Account 3	200	750
Account 4	300	200

[4 rows only selected]

Similarly with the second example, the generated query is adapted using inline views. In this case, the query, shown in detail below, causes a single inline view 22 to be formed including the orderline table. The orderline table is then aggregated before being joined to the order table.

The output results are shown in table 10 below.

```
SELECT i111202 as Order, SUM(i111203) as Order_SUM , SUM(in592) as
Orderline_SUM
FROM
```

```

20      (SELECT SUM(i111198) AS in592, i111197 AS in600
      FROM
          ( SELECT ID AS i111196, ORDERID AS i111197, PRICE AS
            i111198 FROM DEMO.ORDERLINE ) IO111195
          GROUP BY i111197 ) on590,
25      ( SELECT ID AS i111201, NAME AS i111202, VALUE AS i111203
      FROM DEMO.ORDER ) o111200
WHERE ( (i111201 = in600))
GROUP BY i111202;
```

30

Table 10

ORDER	ORDER_SUM	ORDERLINE_SUM
Order 1	300	300
Order 2	200	200
Order 3	400	400

[3 rows only selected]

It will therefore be realised that the present invention operates to automatically overcome the problems of the prior art by generating a modified SQL which in turn causes the generation of inline views. The inline views can then be used to control the level at which the aggregation is performed, thereby ensuring that the aggregation is performed before the tables are joined.

It will also be realised that the present invention may be implemented at a single location within the database. In this example, the query generator would be included within an end station which includes the database, or the database center and accordingly, need not be run located at remote end stations. In this case, the processor of the query generator could also constitute the processor of the database itself. Further options include for the query generator to be located at the database with the indication of the selected items selected on the end station 7 being transferred via the communications network 6 to the processor of the database to allow the database processor to generate the query and then obtain the data as required.

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CLAIMS

1. A query generator for generating a query for obtaining selected data from a database, the database having a number of tables in which data is stored, the query generator comprising a processor which is coupled to the database in use, the processor being adapted to:
- a. receive an input indicating the selected data to be obtained;
  - b. analyse the input and determine whether the input requires a joining of data in different tables, and an aggregation step; and,
  - c. if so, causing the processor to generate a query, the query being adapted to cause the database to:
    - i. aggregate the data within each of the tables as required; and,
    - ii. join the aggregated data, the joined aggregated data representing the selected data.
2. A query generator according to claim 1, wherein the query causes the database to aggregate the data for each table by causing the database to:
- i. select the data within the table for aggregation; and,
  - ii. aggregate the data.
3. A query generator according to claim 2, wherein the data is selected by implementing complex folders to generate inline views within the database, the inline views being maintained until the aggregation is completed.
4. A query generator according to any of claims 1 to 3, wherein the aggregation comprises one of an average, a sum, a standard deviation, and an evaluation of either a maximum or a minimum of the data.
5. A query generator according to any of claims 1 to 4, the query generator further comprises an input device

coupled to the processor to allow a user to generate the input.

6. A query generator according to claim 5, wherein the input is generated by selecting items from a list of possible items, each item representing data contained within a respective database table, and/or an action to be performed on data within the database.

7. Apparatus according to any of the preceding claims, wherein the query being generated as an SQL query.

8. Apparatus according to any of the preceding claims, wherein the aggregation step requires the aggregation of data in different tables.

9. A database system, the database system comprising:

a. a database, the database comprising:

i. a store for storing data; and,  
ii. a database processor coupled to the store for obtaining data in accordance with a received query; and,

b. a query generator for generating a structured query for obtaining selected data from the database, the query generator comprising a processor adapted to:

i. receive an input representing the query to be generated;  
ii. analyse the input and determine whether the input requires a joining of data in different tables, and an aggregation step; and,  
iii. if so, causing the processor to generate a structured query,

wherein the database processor responds to the structured query to:

(1) aggregate the data within each of the tables as required; and,

(2) join the aggregated data, the joined aggregated data representing the selected data.

10. A system according to claim 9, wherein the database processor comprises the processor.

11. A system according to claim 9 or claim 10, wherein the database processor aggregates the data for each table by:

- 5           i. selecting the data within the table for aggregation; and,  
          ii. aggregating the data.

12. A system according to claim 11, wherein the database processor selects the data by implementing an inline view  
10 to generate complex folders within the database, the complex folders being maintained until the aggregation is completed.

13. A system according to any of claims 9 to 12, wherein the aggregation comprises one of an average, a sum, a  
15 standard deviation, a maximum and a minimum evaluation of the data.

14. A system according to any of claims 9 to 13, the query generator further comprising an input device coupled to the processor to allow a user to generate the input.

20 15. A system according to claim 14, wherein the input is generated by selecting items from a list of possible items, each item representing data contained within a respective database table, and/or an action to be performed on data within the database.

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ABSTRACTQUERY GENERATOR

5           The present invention relates to a query generator (7)  
for generating a query for obtaining selected data from a  
database (2). The query generator (2) includes a processor  
(73) which is adapted to receive an input indicating the  
selected data to be obtained. The processor (73) then  
10 analyses the input to determine whether the input requires  
a joining of data in different tables, and an aggregation  
step. If so, the processor (73) generates a query which  
causes the database (2) to aggregate the data within each  
of the tables as required; and, join the aggregated data,  
15 the joined aggregated data representing the selected data.

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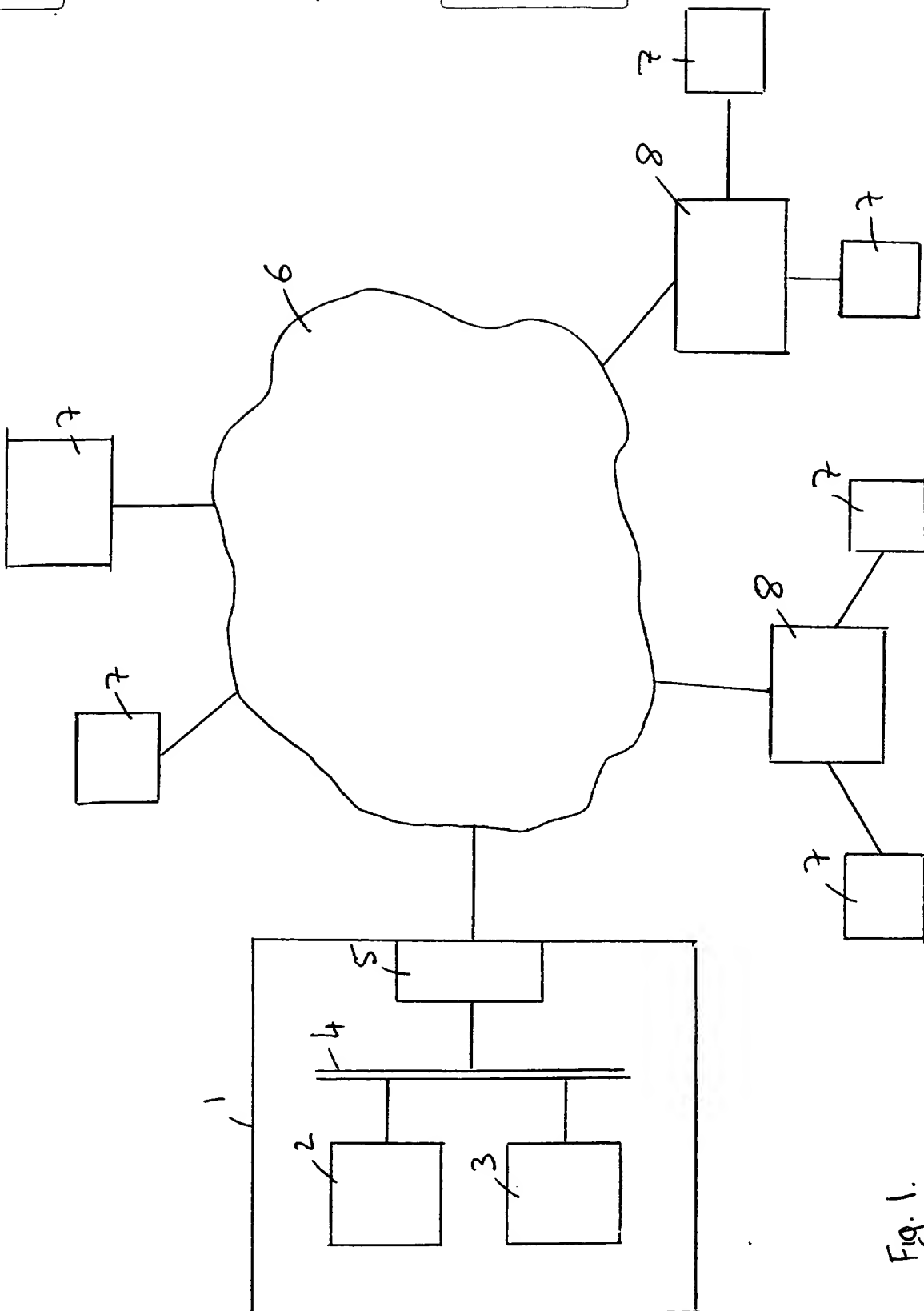
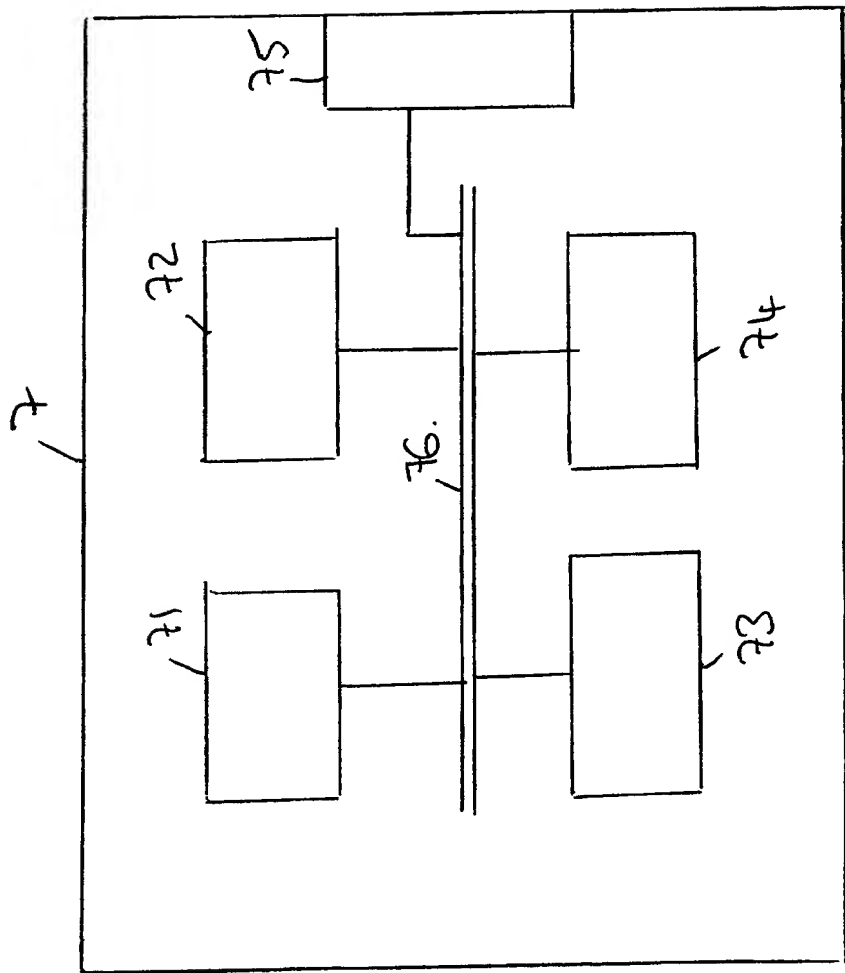


Fig. 1.

Fig. 2.





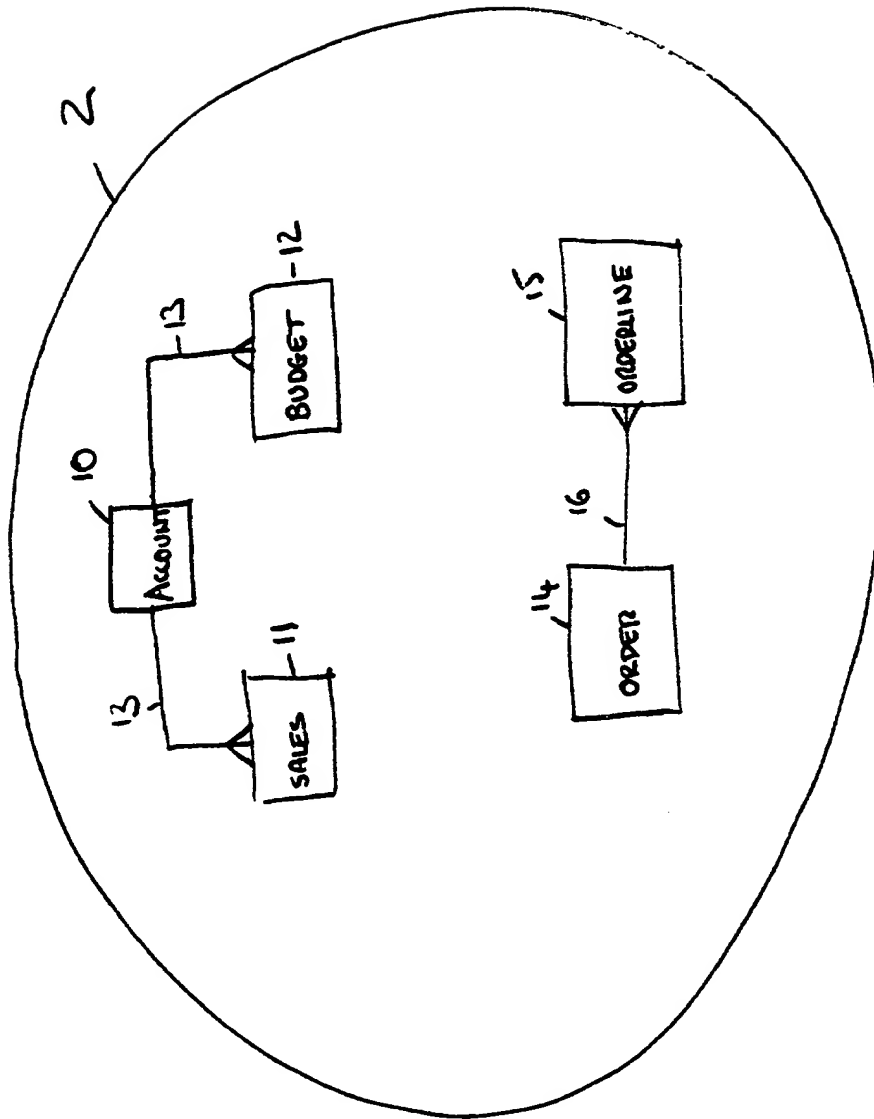


Fig. 3.

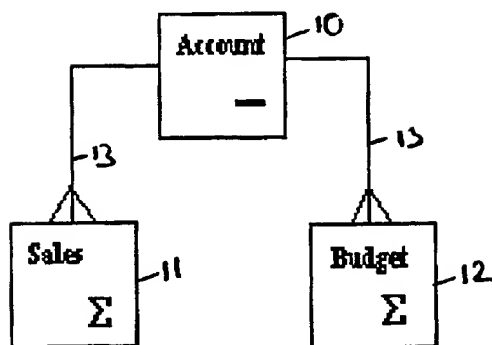


Fig. 4

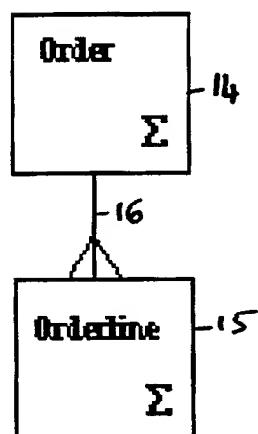


Fig. 5

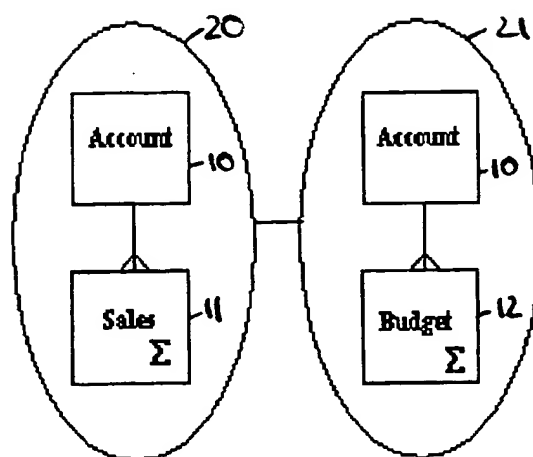


Fig. 6.

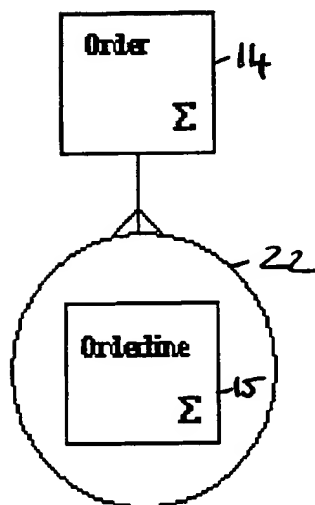


Fig. 7.

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